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PTO/SB/08A (10-96)

Approved [REDACTED] through 10/31/99, OMB 0651-0031

Approved January 19, 1968, GPO 2000-330.
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTD		Complete if Known	
INFORMATION DISCLOSURES STATEMENT BY APPLICANT <small>ENT & TRADEMARK OFFICE</small>		Application Number	10/008,999
		Filing Date	12/7/01
		First Named Inventor	John D. Dobak, III
		Group Art Unit	3:738
		Examiner Name	Unknown
		Attorney Docket Number	205071P1 (061002)
Sheet	2	of	28
(use as many sheets as necessary)			

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	6/23/03
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¹²Unique citation designation number.²See attached Kinds of U.S. Patent Documents.³Enter Office that issued the document by the two-letter code (WIPO Standard ST.3).⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURES
STATEMENT BY APPLICANT**
TRADEMARKS

(use as many sheets as necessary)

Sheet

3

of

28

Complete if Known

Application Number	10/008,999
Filing Date	12/7/01
First Named Inventor	John D. Dobak, III
Group Art Unit	3738
Examiner Name	Unknown

Attorney Docket Number 205011-D1 (061002)

U.S. PATENT DOCUMENTS

Examiner Initials'	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
10	AA	3,460,538		Armstrong	08/12/1969	
	AB	3,612,175		Ford et al.	10/12/1971	
	AC	3,674,031		Weiche	07/04/1972	
	AD	4,484,586		McMickle et al.	11/27/1984	RECEIVED
	AE	4,602,642		O'Hara et al.	07/29/1986	
	AF	4,750,493		Brader	06/14/1988	APR 11 2003
	AG	4,817,624		Newbower	04/04/1989	
	AH	4,860,744		Johnson et al.	08/29/1989	TECHNICAL INFORMATION
	AI	4,883,455		Leonard	11/28/1989	
	AJ	4,904,237		Janese	02/27/1990	
	AK	4,969,740		Mohl et al.	11/13/1990	
	AL	5,234,405		Klatz et al.	08/10/1993	
	AM	5,241,951		Mason et al.	09/07/1993	
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	AO	5,267,341		Shearin	11/30/1993	
	AP	5,330,519		Mason et al.	07/19/1994	
	AQ	5,342,621		Eury	08/30/1994	
	AR	5,358,486		Saab	10/25/1994	
	AS	5,462,521		Brucker et al.	10/31/1995	
	AT	5,496,271		Burton et al.	03/05/1996	
	AU	5,499,973		Saab	03/19/1996	
	AV	5,531,776		Ward et al.	07/02/1996	
	AW	5,545,708		Onwunaka et al.	08/13/1996	
	AX	5,569,195		Saab	10/29/1996	
	AY	5,622,182		Jaffe	04/22/1997	
	AZ	5,630,837		Crowley	05/20/1997	
	BA	5,676,693		LaFontaine	10/14/1997	
	BB	5,676,693		LaFontaine	10/14/1997	
	BE	5,800,486		Thome et al.	09/01/1998	
	BC	5,820,593		Safar et al.	10/13/1998	
	BD	5,868,735		Lafontaine	02/09/1999	

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Date Considered

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PTO/SB/O8A (10-96)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/008,999
				Filing Date	12/7/01
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3738
				Examiner Name	Unknown
Sheet	4	of	28	Attorney Docket Number	2050710D1 (061002)

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
10	BF	5,899,898		Arless et al.	05/04/1999	
	BG	5,910,104		Dobak, III et al.	06/08/1999	RECEIVED
	BH	5,971,979		Joye et al.	10/26/1999	
	BI	6,200,280		Brenneman et al.	03/13/2001	APR 11 2002
	BJ	6,213,126		LaFontaine et al.	04/10/2001	
	BK	6,238,428		Werneth et al.	05/29/2001	TECHNOLGY CENTER
	BL	6,277,143		Klatz et al.	08/21/2001	
	BM	6,287,326		Pecor	09/11/2001	
	BN	6,290,697		Tu et al.	09/18/2001	
	BO	6,290,717		Philips	09/18/2001	
	BP	6,299,599		Pham et al.	10/09/2001	
	BQ	6,306,161		Ginsburg	10/23/2001	
	BQ	6,312,452		Dobak, III et al.	11/06/2001	
	BS	6,325,818		Werneth	12/04/2001	
	BT	2001/0008975		Dobak, III et al.	07/19/2001	
	BU	2001/0021865		Dobak, III et al.	09/13/2001	
	BV	2001/0021866		Dobak, III et al.	09/13/2001	
	BW	2001/0029394		Dobak, III et al.	10/11/2001	
	BX	2001/0031946		Walker et al.	10/18/2001	
	BY	2001/0032004		Werneth	10/18/2001	
	BZ	2001/0039440		Lasheras et al.	11/08/2001	
	CA	2001/0041923		Dobak, III	11/15/2001	
	CB	2001/0044644		Keller et al.	11/22/2001	

FOREIGN PATENT DOCUMENTS							
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
CC		WO	98/49957		Regents of University of California	11/12/1998	
CD		WO	98/38934		Scimed Life Systems, Inc.	09/11/1998	

Examiner Signature	Date Considered	10/23/03
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
Sheet	5	of	28	Application Number	107008,999
				Filing Date	12/17/01
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3738
				Examiner Name	Unknown
				Attorney Docket Number	205011-D1-(061002)

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴				
OJ	CE	WO	99/02096	Regents of University of California	01/21/1999	RECEIVED APR 11 2002 TECHNICAL SERVICES	
	CF	WO	99/04211	Cryogen, Inc.	01/28/1999		
	CG	WO	99/44519	Atrionix, Inc.	09/10/1999		
	CH	WO	99/56812	Atrionix, Inc.	11/11/1999		
	CI	WO	00/57823	Alsius Corporation	10/05/2000		
	CJ	WO	01/26590	Innercool Therapies, Inc.	04/19/2001		
	CK	WO	01/64145	Innercool Therapies, Inc.	09/07/2001		
	CL	WO	01/66052	Innercool Therapies, Inc.	09/13/2001		
	CM	WO	01/74276	Innercool Therapies, Inc.	10/11/2001		
	CN	WO	01/78580	Innercool Therapies, Inc.	10/25/2001		
	CO	WO	01/41695	Theracardia, Inc.	06/14/2001		

Examiner Signature		Date Considered	1/23/03
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
Sheet	6	of	28	Application Number	010708, 999
				Filing Date	12/7/01
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3738
				Examiner Name	Unknown
				Attorney Docket Number	2050-1001 (061002)

OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials'	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
DD	CP	Acton, J. C., L. C. Sheppard, N. T. Kouchoukos, J. W. Kirklin, <i>Automated Care System for Critically Ill Patients Following Cardiac Surgery</i> , Dept. of Surgery, University of Alabama in Birmingham, Birmingham, Alabama 35294, pp. APR 1 111–115.			2003
	CQ	Buggy, D., P. Higgins, C. Moran, F. O'Donovan, and M. McCarroll, <i>Clonidine at Induction Reduces Shivering after General Anaesthesia</i> , 1997, pp. 263–267, Can. J. Anaesth., Vol. 44, N. 3.			
	CR	Felberg, R. A., D. E. Krieger, R. Chuang, D. E. Persse, W. S. Burgin, S. L. Hickenbottom, L. B. Morgenstern, O. Rosales, and J. C. Grotta, "Hypothermia After Cardiac Arrest: Feasibility and Safety of an External Cooling Protocol," <i>Circulation</i> , October 9, 2001, 1799–1804.			
	CS	Giesbrecht, G. et al. (1997), "Inhibition of Shivering Increases Core Temperature Afterdrop and Attenuates Rewarming in Hypothermic Humans," <i>American Physiological Society</i> , 1630–1634, July.			
	CT	Leslie, K., D. I. Sessler, A. R. Bjorksten, M. Ozaki, T. Matsukawa, and M. Schroeder, <i>Propofol Causes a Dose-Dependent Decrease in the Thermoregulatory Threshold for vasoconstriction but has Little Effect on Sweating</i> , 08/1994, pp. 353–360, Vol. 81, N. 2.			
	CU	Matsukawa, T. et al. (1995), "Propofol Linearly Reduces the Vasoconstriction and Shivering Thresholds," <i>Anesthesiology</i> , 82(5):1169–1180, May.			
	CV	Rao, S. K., R. W. Schutz, H. Feinberg, and S. Levitsky, "Metabolic Evidence that Regional Hypothermia Induced by Cold Saline Protects the Heart during Ischemic Arrest," <i>Journ. of Surg. Res.</i> 20:421–425 (1976).			
	CW	Shiraki, K., N. Konda, and S. Sagawa, "Esophageal and Tympanic Temperature Responses to Core Blood Temperature Changes during Hyperthermia, <i>J. Appl. Physiol.</i> 61(1):98–102 (1986).			
	CX	Simon, M., C. A. Athanasoulis, D. Kim, F. L. Steinberg, D. H. Porter, B. H. Byse, S. Kleshinski, S. Geller, D. E. Orron, and A. C. Waltman; <i>Simon Nitinol Inferior Vena Cava Filter: Initial Clinical Experience</i> , 07/1989, pp. 99–103; <i>Radiology</i> .			

Examiner Signature		Date Considered	1/25/02
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PTO/SB/08A (10-96)

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Patent and Trademark Office, U.S./DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(use as many sheets as necessary)</small>				Application Number	10/008,999
				Filing Date	12/7/01
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3738
				Examiner Name	Unassigned
				Attorney Docket Number	2050/1 D1 (061002)
Sheet	17	of	28		

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

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PTO/SB/O8A (10-96)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

Complete if Known

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(use as many sheets as necessary)

Sheet 8 of 28

Application Number	10/008,999
Filing Date	12/7/01
First Named Inventor	John D. Dobak, III
Group Art Unit	13738
Examiner Name	Unknown

Attorney Docket Number 2050/1 D1 (061002)

U.S. PATENT DOCUMENTS

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	AA	4,745,922		Taylor	04/24/1988	
	AB	4,951,677		Crowlet et al.	08/28/1990	
	AC	5,000,734		Boussignac et al.	03/19/1991	
	AD	5,024,668		Peters et al.	06/18/1991	
	AE	5,046,497		Millar	09/10/1991	
	AF	5,089,260		Hunter et al.	02/18/1992	
	AG	5,267,341		Shearin	11/30/1993	
	AH	5,383,854		Safar et al.	01/24/1995	
	AI	5,578,008		Hara	11/26/1996	
	AJ	5,584,804		Klatz et al.	12/17/1996	
	AK	5,653,692		Masterson et al.	08/05/1997	
	AL	5,709,654		Klatz et al.	01/20/1998	
	AM	5,733,280		Avitall	03/31/1998	
	AN	5,733,318		Augustine	03/31/1998	
	AO	5,800,483		Vought	09/01/1998	
	AP	5,827,269		Saadat	10/27/1999	
	AQ	5,879,316		Safar et al.	03/09/1999	
	AR	5,899,898		Arless et al.	05/04/1999	
	AS	5,906,588		Safar et al.	05/25/1999	
	AT	5,906,594		Scarfone et al.	05/25/1999	
	AU	5,906,636		Casscells, III et al.	05/25/1999	
	AV	5,913,856		Chia et al.	06/22/1999	
	AW	6,019,783		Philips et al.	02/01/2000	
	AX	6,022,336		Zadno-Azizi et al.	02/08/2000	
	AY	6,024,740		Lesh et al.	02/15/2000	
	AZ	6,231,595		Dobak, III	05/15/2001	
	BA	6,241,718		Arless et al.	06/05/2001	
	BB	6,251,129		Dobak, III et al.	06/26/2001	
	BC	6,251,130		Dobak, III et al.	06/26/2001	
	BD	6,253,769		Lafontaine et al.	07/03/2001	
	BE	6,254,626		Dobak, III et al.	07/03/2001	

Examiner Signature		Date Considered	1/12/03
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Substitute for form 1449A/PTO APR 08 2002

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 9 of 28

Complete if Known

Application Number	10/008,999
Filing Date	12/7/01
First Named Inventor	John D. Dobak, III
Group Art Unit	3738
Examiner Name	Unknown

Attorney Docket Number 2050/1 D1 (061002)

U.S. PATENT DOCUMENTS

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(D)	BF	6,261,312		Dobak, III et al.	07/17/2001	
	BG	6,264,679		Keller et al.	07/24/2001	
	BH	6,287,326		Pecor	09/11/2001	
	BI	6,290,674		Roue et al.	09/18/2001	
	BJ	2001/0005791		Ginsburg et al.	06/28/2001	
	BK	2001/0007951		Dobak, III	07/12/2001	
	BL	2001/0010011		Aliberto et al.	07/26/2001	
	BL	2001/0011146		Joh et al.	08/02/2001	
	BM	2001/0011184		Dobak, III et al.	08/02/2001	
	BN	2001/0011185		Dobak, III et al.	08/02/2001	
	BO	2001/0014799		Schwartz	08/16/2001	
	BP	2001/0014800		Frazier et al.	08/16/2001	
	BQ	2001/0016763		Lasheras et al.	08/23/2001	
	BR	2001/0016764		Dobak, III	08/23/2001	

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		Office ³	Number ⁴				
(D)	BS	WO 95/15115		Avitall, Boaz	06/08/1995		
	BT	WO 00/62837		Alsius Corporation	10/26/2000		
	BU	WO 01/23035		Theracardia, Inc.	04/05/2001		
	BV	WO 01/30413		Alsius Corporation	05/03/2001		
	BW	WO 01/41695		Theracardia, Inc.	06/14/2001		
	BX	WO 01/41708		Alsius Corporation	06/14/2001		
	BY	WO 01/43661		Radiant Medical, Inc.	06/21/2001		
	BZ	WO 01/49236		Alsius Corporation	07/12/2001		
	CA	WO 01/52781		Radiant Medical, Inc.	07/26/2001		
	CB	WO 01/56517		Alsius Corporation	08/09/2001		
	CC	WO 01/58397		Radiant Medical, Inc.	08/16/2001		
	CD	WO 01/64146		Radiant Medical, Inc.	09/07/2001		

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Date Considered
2/23/02

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 10 of 28

Complete if Known

Application Number	10/008,999
Filing Date	12/7/01
First Named Inventor	John D. Dobak, III
Group Art Unit	3738
Examiner Name	Unknown

Attorney Docket Number 2050/1-D1 (061002)

OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS		
Examiner Initials'	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
(W)	CE	Cheong, K. F., and T. C. Low, "Forum: Propofol and Postanesthetic Shivering," <i>Anesthesia</i> 50:550–552 (1995).
	CF	Colvett, Kyle, A. F. Althausen, B. Bassil, N. M. Heney, F. V. McGovern, H. H. Young, D. S. Kaufman, A. L. Zietman, and W. U. Shipley, "Opportunities with Combined Modality Therapy for Selective Organ Preservation in Muscle-Invasive Bladder Cancer," <i>Journ. of Surg. Oncology</i> 63:201–208 (1996).
	CG	Dubuc, M., M. Talajic, D. Roy, B. Thibault, T. Ki Leung, K. Spector, P. Friedman, "Catheter Cryoablation: A Novel Technology for Ablation of Cardiac Arrhythmias," <i>Abstracts from the 69th Scientific Session, -I-557 No. 3259.</i>
	CH	Frank, S. M., P. Satitpunwaycha, S. R. Bruce, C. Holmes, S. Brentzel, D. S. Goldstein, "Sympathetic and Adrenomedullary Responses to Decreased Core Temperature in Awake Humans," <i>Abstract presented October 2000 in Puerto Rico at Annual Meeting of the American Autonomic Society—Not Yet Published.</i>
	CI	Holzer, M., W. Behringer, W. Schörkhuber, A. Zeiner, F. Sterz, A. N. Laggner, M. Frass, P. Siostrozonek, K. Ratheiser, and A. Kaff, "Mild Hypothermia and Outcome after CPR," <i>Cardiopulmonary and Cerebral Resuscitation: An Update</i> , HACA Study Group, 55–58.
	CJ	Lustgarten, D. L., D. Keane, and J. Ruskin, "Cryothermal Ablation: Mechanism of Tissue Injury and Current Experience in the Treatment of Tachyarrhythmias," <i>Progress in Cardiovascular Diseases</i> , Vol. 41, No. 6, pp. 481–498 (May/June 1999).
	CK	Maas, C., R. Kok, P. Segers, A. Boogaart, S. Eillander, I. de Vries, "Intermittent Antegrade/Selective Cerebral Perfusion During Circulatory Arrest for Repair of the Aortic Arch," <i>Perfusion</i> 12:127–132 (1997).
	CL	Sani, G., F. Benetti, M. A. Mariani, G. Lisi, M. Maccherini, M. Toscano, "Arterial Myocardial Revascularization without Cardiopulmonary Bypass through a Small Thoracotomy," <i>Eur. J. Cardio-Thorac. Surg.</i> 10:699–701 (1996).
	CM	Cabanac, M., "Selective brain cooling and thermoregulatory set-point," <i>Journal of Basic & Clinical Physiology & Pharmacology</i> , Department de Physiologie, Faculte de Medecine, Universite Laval, Quebec QC, CANADA G1K 7P4, Freund Publishing House Ltd., 1998, Pages 3–13.
	CN	Caponga, G. et al. "IV clonidine for post-extradural shivering in parturients: a preliminary study," <i>British Journal of Anaesthesia</i> , 1993, 71:294–295.
	CO	Haley, et al. "A Randomized Trial of Tirofiban Mesylate in patients with Acute Stroke (RANTAS)," <i>Stroke</i> 27:1473–1458, 1996.

Examiner Signature	(Signature)	Date Considered	6/25/04
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PTO/SB/08B (10-96)

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
Sheet	11	of	28	Application Number	10/008,999
				Filing Date	12/7/01
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3738
				Examiner Name	Unknown
				Attorney Docket Number	2050/11 D11 (061002)

OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
(D)	CP	Lennon, Robert L., et al., "Evaluation of a Forced-Air for Warming Hypothermic Postoperative Patients", ANESTH ANALG, Volume 70, Pages 424-427, 1990			
(D)	CQ	Sharkey, A., et al., "Inhibition of postanesthetic shivering with radiant heat", Anesthesiology, Volume 66, No. 2, February 1987, Pages 249-252			

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Examiner Signature		Date Considered	6/27/03
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Substitute for form 1449A/PTO APR 08 2002		Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(use as many sheets as necessary)</small>		Application Number	10/008,999	
		Filing Date	12/7/01	
		First Named Inventor	John D. Dobak, III	
		Group Art Unit	3738	
		Examiner Name	Unknown	
Sheet	12	of	28	Attorney Docket Number
2050/1 D1 (061002)				

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature _____ Date Considered 6/2/13

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Substitute for form 1449A/PTO		APR 08 2002		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY ATTORNEY OR AGENT <small>(use as many sheets as necessary)</small>				Application Number	10,008,999
				Filing Date	12/7/01
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3738
				Examiner Name	Unknown
Sheet	13	of	28	Attorney Docket Number	2050/1 D1 (061002)

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature	01	Date Considered	13/0
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 14 of 28

Application Number	10/008-999
Filing Date	12/7/01
First Named Inventor	John D. Dobak, III
Group Art Unit	3738
Examiner Name	Unknown
Attorney Docket Number	2050/12 D1 (061002)

U.S. PATENT DOCUMENTS

Examiner Initials'	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
AA	4,762,129	Bonzel		08/09/1988		
AB	4,820,349	Saab		04/11/1989		
AC	5,002,531	Bonzel		03/26/1991		
AD	5,306,261	Alliger et al.		03/26/1994		
AE	5,443,456	Allger et al.		08/22/1995		
AF	5,588,438	McKown et al.		12/31/1996		
AG	5,643,197	Brucker et al.		07/01/1997		
AH	5,735,809	Gorsuch		04/07/1998		
AI	5,861,021	Thome et al.		01/19/1999		
AJ	5,913,885	Klatz et al.		06/22/1999		
AK	5,957,963	Dobak, III		09/28/1999		
AL	6,042,559	Dobak, III		03/28/2000		
AM	6,051,019	Dobak, III		04/18/2000		
AN	6,096,068	Dobak, III et al.		08/01/2000		
AO	6,149,670	Worthen et al.		11/21/2000		
AP	6,149,673	Ginsburg		11/21/2000		
AQ	6,149,676	Ginsburg		11/21/2000		
AR	6,149,677	Dobak, III		11/21/2000		
AS	6,165,207	Balding et al.		12/26/2000		

FOREIGN PATENT DOCUMENTS

Examiner Initials'	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴				
AT		WO 99/37226		Del Mar Medical Technologies, Inc.	07/29/1999		
AU		WO 99/66970		Del Mar Medical Technologies, Inc.	12/29/1999		
AV		WO 99/66971		Del Mar Medical Technologies, Inc.	12/29/1999		
AW		WO 00/57834		Alsius Corporation	10/05/2000		
AX		WO 00/62837		Alsius Corporation	10/26/2000		
AY		WO 00/72779		Alsius Corporation	12/07/2000		

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Date Considered

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

15

of

28

Complete if Known

Application Number	107008,999
Filing Date	12/17/01
First Named Inventor	John D. Dobak, III
Group Art Unit	3738
Examiner Name	Unknown

Attorney Docket Number 2050715 D1: (061002)

AZ	WO 00/72787	Alsius Corporation	12/07/2000	
BA	WO 01/03606	Alsius Corporation	01/18/2001	
BB	WO 01/08580	Alsius Corporation	02/08/2001	
BC	WO 01/10323	Radiant Medical, Inc.	02/15/2001	APR 11 2002
BD	WO 01/10365	Innercool Therapies, Inc.	02/15/2001	
BE	WO 01/12061	Alsius Corporation	02/22/2001	TECHNOLOGY CENTER
BF	WO 01/12122	Alsius Corporation	02/22/2001	
BG	WO 01/13837	Innercool Therapies, Inc.	03/01/2001	
BH	WO 01/13809	Radiant Medical, Inc.	03/01/2001	
BI	WO 01/17471	Alsius Corporation	03/15/2001	
BJ	WO 01/19447	Alsius Corporation	03/23/2001	

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Date Considered
1/29/03

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT
use as many sheets as necessary)

Sheet

16 of 28

Complete if Known

Application Number	107008,999
Filing Date	11-27-01
First Named Inventor	John D. Dobak, III
Group Art Unit	3738
Examiner Name	Unknown

Attorney Docket Number 2050-1-D1 (061002)

OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS		
Examiner Initials'	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
10	BK	Alfonsi, P., D. I. Sessler, B. Du Manoir, J-C. Levron, J-P. Le Moing, M. Chauvin, "The Effects of Meperidine and Sufentanil on the Shivering Threshold in Postoperative Patients," <i>Anesthesiology</i> , July 1998, 89(1):43–48.
	BL	Cheng, C. et al. (1995), "Increasing Mean Skin Temperature Linearly Reduces the Core-Temperature Thresholds for Vasoconstriction and Shivering in Humans," <i>Anesthesiology</i> 82(5):1160–1168, May.
	BM	Deklunder, G., M. Dauzat, J-L. Lecroart, J-J. Hauser, and Y. Houdas, "Influence of Ventilation of the Face on Thermoregulation in Man during Hyper- and Hypothermia," <i>Eur. J. Appl. Physiol.</i> , 1991, 62:342–348.
	BN	Gentilello, L. M., "Advances in the Management of Hypothermia," <i>Horizons in Trauma Surgery</i> , April 1995, 75(2):243–256.
	BO	Giuffre, M. et al. (1991), "Rewarming Postoperative Patients: Lights, Blankets, or Forced Warm Air," <i>Journal of Post Anesthesia Nursing</i> , 6(6):386–393, December.
	BP	Griffin, Anita, et al.; "Shivering Following Cardiac Surgery: Hemodynamic Changes and Reversal."; <i>Journal of Cardiothoracic Anesthesia</i> ; (February 1987); pp. 24–28; Vol. 1, No. 1
	BQ	Haley, E. C. et al. "A Randomized Trial of Tirlazad Mesylate in Patients with Acute Stroke (RANTTAS)," <i>Stroke</i> , 1996, 27(9):1453–1458.
	BR	Iaizzo; <i>Facial Warming Increases the Threshold for Shivering</i> , 1999, <i>Journal of Neurosurgical Anesthesiology</i> , Vol. 11, No. 4, pp. 231–239.
	BS	Keegan, M. T. et al. "Shivering Complicating the Treatment of Neurologically Impaired Surgical and Intensive Care Unit Patients," <i>Anesthesiology</i> , September 1999, 91(3):874–876.
	BT	Kurz, Martin, et al.; "Naloxone, Meperidine, and Shivering."; <i>Anesthesiology</i> ; (December 1983); pp. 1193–1201; V. 79; No. 6
	BU	Sessler, Daniel I.; "Mild Perioperative Hypothermia"; <i>The New England Journal of Medicine</i> ; pp. 1730–1737; 336:1730–1737; (June 12, 1997)
10	BV	Villamaria, F. J., C. E. Baisden, A. Hillis, M. H. Rajab, and P. A. Rinaldi, "Forced-Air Warming is No More Effective than Conventional Methods for Raising Postoperative Core Temperature After Cardiac Surgery," <i>Journ. Cardiothoracic and Vascular Anesth.</i> , October 1997, 11(6):708–711.

Examiner Signature		Date Considered	6/23/03
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Substitute for form 1449B/PTC		APR 08 2002		Complete if Known	
INFORMATION DISCLOSURES STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	107008 99.9
Sheet	1.7	of	28	Filing Date	12/17/01
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3738
				Examiner Name	Unknown
				Attorney Docket Number	2050/1-D1 (061002)

OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS		
Examiner Initials'	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
GW	BW	Zweifler, R. M. and D. I. Sessler, "Thermoregulatory Vasoconstriction and Shivering Impede Therapeutic Hypothermia in Acute Ischemic Stroke Patients," <i>Journ. Stroke and Cerebrovascular Diseases</i> , 1996, 6(2):100–104.
	BX	AVECOR Cardiovascular Inc., "BIOtherm Heat Exchanger Instruction Manual," July 1991, 4 pages.
	BY	Bone, M. E. and R. O. Feneck, "Bladder Temperature as an Estimate of Body Temperature during Cardiopulmonary Bypass," <i>Anaesthesia</i> , 1988, 43:181–185.
	BZ	Chan, L. L. et al. "Radiological Placement of 211 Central Venous Catheters: outcome and Complications," <i>Ann. Acad. Med. Singapore</i> , July 1999, 28(4):481–487.
	CA	Cobos, E. et al. "Prevention and Management of Central Venous Catheter Thrombosis," <i>Curr. Opin. Hematol.</i> September 1998, 5–9.
	CB	Cook, L. et al. "Intra-Aortic Balloon Pump Complications: A Five-Year Retrospective Study of 283 Patients," <i>Heart & Lung</i> , May/June 1999, 28(3):195–202.
	CC	Davidson, J. et al. "Intra-Aortic Balloon Pump: Indications and Complications," <i>Journal of the National Medical Association</i> , 90(3):137–140.
	CD	Deakin, C. D. et al. "Thermal Energy Balance as a Measure of Adequate Rewarming from Hypothermic Cardiopulmonary Bypass," <i>Journ. of Cardiothoracic and Vascular Anesth.</i> , August 2000, 14(4):388–392.
	CE	Dodek, A. et al. "Complications of Coronary Arteriography," <i>Can. Med. Assoc. J.</i> , April 15, 1983, 128:934–936.
	CF	El-Rahmany, H. K. et al. "Determinants of Core Temperature at the Time of Admission to Intensive Care Following Cardiac Surgery," <i>Journ. Clin. Anesth.</i> 2000, 12:177–183.
	CG	Elrifai, A. M. et al. "Rewarming, Ultraprofound Hypothermia and Cardiopulmonary Bypass," March 13–16, 1992, <i>Journ. of Extra-Corporeal Tech.</i> , 24(4):107–112.
GW	CH	Feliciano, D. V. et al. "Metabolic and Nutritional Support by F. B. Cerra," <i>Trauma 3rd Ed.</i> 1996, Chapter 62, 1155–1176.

Examiner Signature		Date Considered	6/23/01
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APR 08 2002

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

18

of

28

Complete if Known

Application Number	107008, 999
Filing Date	12/7/01
First Named Inventor	John D. Dobak, III
Group Art Unit	3738
Examiner Name	Unknown

Attorney Docket Number 2050/1-D1 (061002)

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T-INVOLG-1
T-FC-700**OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials'	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
601	CI	Ferris, E. J. et al. "Percutaneous Inferior Vena Caval Filters: Follow-up of Seven Designs in 320 Patients," <i>Radiology</i> , September 1993, 188(3):851–856.
	CJ	Frank, S. M. et al. "Perioperative Maintenance of Normothermia Reduces the Incidence of Morbid Cardiac Events" <i>JAMA</i> April 9, 1997, 277(14):1127–1134.
	CK	Goldman, B. S. et al. "Complications Associated with Use of the Intra-Aortic Balloon Pump," <i>The Canadian Journal of Surgery</i> , March 1982, 25(2):153–156.
	CL	Greenfield, L. J. and A. DeLucia III et al. "Endovascular Therapy of Venous Thromboembolic Disease," <i>Endovascular Surgery: Surgical Clinics of North America</i> , 1992, 72(4):969–989.
	CM	Greenfield, L. J. et al. "Results of a Multicenter Study of the Modified Hook-Titanium Greenfield Filter," <i>Journal of Vascular Surgery</i> , September 1991, 14(3):253–257.
	CN	Grollman, J. H. "Complications of Pulmonary Arteriography," <i>Seminars in Interventional Radiology</i> , June 1994, 11(2):113–120.
	CO	Hanhela, R. et al. "The Effects of Two Rewarming Strategies on heat Balance and Metabolism after Coronary Artery Bypass Surgery with Moderate Hypothermia," <i>Acta Anaesthesiol. Scand.</i> , 1999, 43:979–988.
	CP	Heintzen, M. P. and B. E. Strauer, "Peripheral Arterial Complications after Heart Catheterization," <i>Herz</i> 1998, 23(1):4–20.
	CQ	Hessel, S. J. et al. "Complications of Angiography," <i>Diagnostic Radiology</i> , February 1981, 138:273–281.
	CR	Horowitz, M. B. et al. "Assessment of Complication Types and Rates Related to Diagnostic Angiography and Interventional Neuroradiologic Procedures," <i>Interventional Neuroradiology</i> , 1998, 4:27–37.
	CS	Insler, S. R. et al. "Association Between Postoperative Hypothermia and Adverse Outcome after Coronary Artery Bypass Surgery," <i>Soc. Thorac. Surg.</i> 2000; 70:175–81.
81	CT	Janke, E. L. "Evaluation of Two Warming Systems after Cardiopulmonary Bypass," <i>Brit. Journ. Anaesth.</i> 1996; 77:268–270.

Examiner Signature

Date Considered

6/25/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹²Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known
Sheet	19	of	28	Application Number 10/002,999
				Filing Date 12/14/01
				First Named Inventor John D. Dobak, III
				Group Art Unit 3738
				Examiner Name Unknown
				Attorney Docket Number 2050-1-01: (061002)

OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS		
Examiner Initials'	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
JD	CU	Kirkpatrick, W. G. et al. "Frequency of Complications with Prolonged Femoral Vein Catheterization for Hemodialysis Access," <i>Nephron</i> 1995, 73:58–62.
✓	CV	Kurz, A. et al. "Perioperative Normothermia to Reduce the Incidence of surgical-Wound Infection and Shorten Hospitalization," <i>The New England Journ. of Medicine</i> , May 9, 1996, 334(19):1209–1215.
	CW	Kvilekval, K. H. V. et al. "Complications of Percutaneous Intra-Aortic Balloon Pump Use in Patients with Peripheral Vascular Disease," <i>Arch. Surg.</i> , May 1991, 126:621–623.
	CX	Leslie, K. et al. "The Implications of Hypothermia for Early tracheal extubation Following Cardiac Surgery," <i>Journ. of Cardiothoracic and Vascular Anesth.</i> December 1998, 12:6:30–34.
	CY	Levy, J. M. and S. J. Hessel, "Complications of Angiography and Interventional Radiology," <i>The Abdomen and the Pelvis</i> , Chap. 42, 1024–1051.
	CZ	Mackenzie, D. J. et al. "Vascular Complications of the Intra-aortic Balloon Pump," <i>The American Journal of Surgery</i> , November 1992, 164:517–521.
	DA	Makhoul, R. G. et al. "Vascular Complications of the Intra-aortic Balloon Pump: An Analysis of 436 Patients," <i>The American Surgeon</i> , September 1993, 59:564–568.
	DB	Mian, N. Z. et al. "Incidence of Deep Venous Thrombosis Associated with Femoral Venous Catheterization," <i>Acad. Emergency Medicine</i> , December 1997, 4(12):1118–1121.
	DC	Miller, J. S. et al. "Vascular Complications Following Intra-Aortic Balloon Pump Insertion," <i>The American Surgeon</i> , April 1992, 58:232–238.
	DD	Molgaard, C. P. et al. "Access-Site Thrombosis after Placement of Inferior Vena Cava Filters with 12–14 F Delivery Sheaths," <i>Radiology</i> 1992, 185:257–261.
	DE	Moors, A. H. et al. "Convective Warming After Hypothermic Cardiopulmonary Bypass," <i>British Journ. of Anesth.</i> , 1994, 73:782–785.
✓	DF	Moors, A. H. et al. "Convective Warming after Hypothermic Cardiopulmonary Bypass," <i>Brit. Journ. Anaesth.</i> 1994; 73–782–785.

Examiner Signature		Date Considered	1/29/03
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Substitute for form 1449B/PTO

Complete if Known

Application Number	10/08, 999
Filing Date	12/7/01
First Named Inventor	John D. Dobak, III
Group Art Unit	3738
Examiner Name	Unknown

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

20 of 28

Attorney Docket Number

2050/1-D1 (061002)

11 2002

OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS		
Examiner Initials'	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
DG	Mora, C. T. et al. "The Effect of Temperature Management During Cardiopulmonary Bypass on Neurologic and Neuropsychologic Outcomes in Patients Undergoing Coronary Revascularization," August 1966, 112(2):514–522.	T ²
DH	Noto, T. J. et al. "Cardiac Catheterization 1990: A Report of the Registry of the Society for Cardiac Angiography and Interventions (SCA&I)," <i>Catheterization and Cardiovascular Diagnosis</i> , 1991, 24:75–83.	
DI	Pais, S. O. et al. "Percutaneous Insertion of the Greenfield Inferior Vena Cava Filter: Experience with Ninety-Six Patients," <i>Journal of Vascular Surgery</i> , October 1988, 8(4):460–464.	
DJ	Patton, J. H. et al. "Prophylactic Greenfield Filters: Acute Complications and Long-Term Follow-up," <i>Journal of Trauma: Injury, Infection, and Critical Care</i> , August 1996, 41(2):231–237.	
DK	Pujol, A. et al. "Afterdrop After Cardiopulmonary Bypass: The Value of Tempanic Membrane Temperature Monitoring," <i>Journ. Cardiothoracic and Vascular Anesth.</i> , April 1966, 10(3):326–341.	
DL	Simon, M. et al. "Simon Nitinol Inferior Vena Cava Filter: Initial Clinical Experience," <i>Radiology</i> , 1989, 172:99–103.	
DM	Villamaria, F. J. et al. "Forced-Air Warming is No More Effective than Conventional Methods for Raising Postoperative Core Temperature after Cardiac Surgery," <i>Journ. Cardiothorac. Vasc. Anesth.</i> , October 1997, 11(6):708–711.	

Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE CITATION

ATTY DOCKET NO. 2050/1 D1 (061002) SERIAL NO.: 10/008,999
 APPLICANT/INVENTOR: Dobak, John D. III
 FILING DATE: 12/7/01

GROUP: 373.8

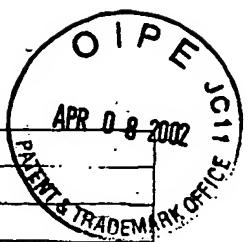
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U. S. PATENT DOCUMENTS

TECHNOLOGY CENTER 3700

Examiner Initial	Item No.	Document Number	Document Date	Inventor Name	U. S. Class	Sub Class	Filing Date (if appropriate)
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		2,374,609	04/1945	McCollum	-----	-----	
		2,615,686	10/1982	Davidson	-----	-----	
		2,672,032	03/1954	Towse	62	126	
		2,913,009	11/1959	Kuthe	-----	-----	
		3,298,371	01/1967	A.S.J. Lee			
		3,425,419	02/1969	A.A. Dato			
		3,504,674	04/1970	Swenson, et al.	128	303.1	
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		4,581,017	04/1986	Sahota	604	101	
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		4,672,962	06/1987	Hershenson	128	303.1	
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	5,110,721	05/1992	Anaise, et al.	435	1	
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	5,837,003	11/1998	Ginsburg	607	106		
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	5,873,835	02/1999	Hastings, et al.	600	488	09/1995	1 2002
	5,879,329	03/1999	Ginsburg	604	93	01/1997	
	5,899,899	05/1999	Arless, et al.	606	22		
	5,902,268	05/1999	Saab	604	96	05/1995	
	5,913,885	06/1999	Klatz, et al.	607	104	05/1995	
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		2 447 406	03/1980	France				
		EP 0 655 225 A1	05/1993	Europe				
		SU 806 029	02/1981	Russia				
		WO 91/05528	05/1991	PCT				
		WO 93/04727	03/1993	PCT				
		WO 95/01814	01/1995	PCT				
		WO 96/40347	12/1996	PCT				
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		WO 97/25011	07/1997	PCT				
		WO 98/26831	06/1998	PCT				
		WO 98/31312	07/1998	PCT				

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(Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	Item No.	Document Information
		Ambrus; <i>The Biphasic Nature and Temperature Dependence of the Activation of Human Plasminogen by Urokinase</i> ; 05/1979; pp. 339-347; Research Communications in Chemical Pathology and Pharmacology, Vol. 24, No. 2.
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	Milleret, René; <i>La cryo-chirurgie dans les varices des membres inférieurs</i> ; <i>Angiologie</i> ; Supplement au no. 110.
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YN		Yoshihara; <i>Changes in Coagulation and Fibrinolysis Occurring in Dogs during Hypothermia</i> ; 08/1984; pp.503-512; Thrombosis Research, Vol. 37, No. 4.
OH		Zarins; <i>Circulation in Profound Hypothermia</i> ; 11/1972; pp. 97-104; Journal of Surgical Research, Vol. 14, N. 2.

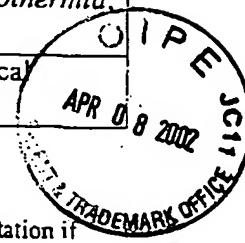
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6/28/03

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Modified Form 1449



INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)ATTY DOCKET NO.
2050/1 D1-A (002)SERIAL NO.
10/008,999

John D. Dobak, III

FILING 12/7/01

GROUP 3738

APR 08 2002

PAUL T. TRADMARK OFFICE

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
0	1	4,625,712	12/2/86	Wampler	128	1 D	
	2	5,536,251	7/16/96	Evard et al.	604	93	RECEIVED APR 11 2002
	3	5,766,151	6/16/98	Valley et al.	604	96	RECEIVED APR 11 2002
	4	5,807,318	9/15/98	St. Goar et al.	604	53	RECEIVED APR 11 2002
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES
0	1	WO 99/48449	9/30/99	PCT	A61F	7/00	
	2	WO 00/09054	2/24/99	PCT	AG1F	7/12	
	3	WO 00/10494	3/2/00	PCT	AG1F	7/00	
	4	WO 00/38601	7/6/00	PCT	AG1F	7/00	
H	5	WO 00/47145	8/17/00	PCT	AG1F	7/00	

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	1	Sweeney, Michael S. MD, <i>The Hemopump in 1997: A Clinical, Political, and Marketing Evolution</i> , Annals of Thoracic Surgery, 1999, Vol. 68, pgs. 761-763.
H	2	Corvascular, Inc., Company Profile, www.corvascular.com

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY DOCKET NO. 2050/1002	SERIAL NO. 10/008,999		
				John D. Dobak, III			
				FILING 12/7/01	GROUP 3738		
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	
12		6,019,783	2/1/00	Philips, et al.	607	105	
13		6,033,383	3/7/00	Ginsburg	604	113	
14		6,110,168	8/29/00	Ginsburg	606	27	
15		6,126,684	10/3/00	Gobin et al.	607	113	
16		RE 33,911	5/5/92	Samson et al.	128	772	
17		6,146,411	11/14/00	Noda et al.	607	105	
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
6		WO 00/48670	8/24/00	PCT	AG1N	1/30	YES
7		WO 00/51534	9/8/00	PCT	A61F	7/00	NO
8		WO 00/53135	9/14/00	PCT	A61F	7/00	
9		WO 00/57823	10/5/00	PCT	A61F	7/12	
10		WO 00/66053	11/9/00	PCT	A61F	7/12	
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	3	Coronary Hemodynamic Effects of Hemopump, Am Heart J 135(5): 844-849, 1998, Abstract.					
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EXAMINER				DATE CONSIDERED			1/12/02

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INFORMATION DISCLOSURE CITATION
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APR 08 2002

Docket Number (Optional) 2050/1 DT002	Application Number 10/008,999,32
Applicant(s) John D. Dobak, III	
Filing Date 12/7/01	Group Art Unit 3738

*EXAMINER INITIAL	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
9	Rijken, D.C. et al., <i>Thrombinogen Activation at Low Temperatures in Plasma Samples Containing Therapeutic Concentrations of Tissue-Type Plasminogen Activator or Other Thrombolytic Agents</i> , Gaubius Institute TNO, Leiden, The Netherlands, March 12, 1990, pps. 47-52.
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9	Benetti, et al., <i>Direct myocardial revascularization without extracorporeal circulation. Experience in 700 patients</i> , Chest 100(2): 312-316 (August, 1991).
10	Pfister et al., <i>Coronary artery bypass without cardiopulmonary bypass</i> , Annals of Thoracic Surgery, 54(6):1085-1092, (December 1992).
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12	Schmid-Elsaesser, Robert MD, et al., "Combination Drug Therapy and Mild Hypothermia", Stroke, 30(9):1891-1899 (1999)
13	Rohrer, Michael J. MD, et al. <i>Effect of hypothermia on the coagulation cascade</i> , Critical Care Medicine, Vol. 20, No. 10, pps. 1402-1405.
14	Sweeney, M.C. et al., <i>Device-supported myocardial revascularization; safe help for sick hearts</i> , Annals of Thoracic Surgery, 54:1065-1070 (1993)
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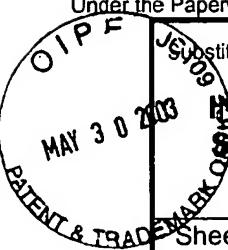
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
Sheet	1	of	1	Application Number	10/008,999
				Filing Date	December 7, 2001
				First Named Inventor	John Dobak, III
				Group Art Unit	3738
				Examiner Name	Not Yet Known
				Attorney Docket Number	061002

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
J	A1	6,365,338		Bull, et al.	04/02/2002	
J	A2	6,365,579		Thatcher, et al.	04/02/2002	
	A3	6,393,320		Lasersohn, et al.	05/21/2002	
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J	A8	20020095201		Worthen, et al.	07/18/2002	
J	A9	20020193853		Worthen, et al.	12/19/2002	

OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
J	B1	Kinney, Thomas B., et al.; Optimizing Myocardial Hypothermia: I. Temperature Probe Design and Clinical Inferences; Ann Thorac Surg; Volume 51; pages 278-283 (1991).		
J	B2	Mazala, M., et al.; Hypothermia and the Action of Neuromuscular Blocking Agents; Anaesthesia; Volume 43; Issue No. 2; page 162 (February 1988).		
J	B3	Osguthorpe, Susan G., et al.; Hypothermia and Rewarming after Cardiac Surgery; AACN Clin Issues Crit Care Nurs; Volume 4; No. 2; pages 276-292 (May 1993).		
J	B4	Wakida, et al.; Percutaneous Cooling of Ischemic Myocardium by Hypothermic Retroperfusion of Autologous Arterial Blood: Effects on Regional Myocardial Temperature Distribution and Infarct Size; JACC; Volume 18; No. 1; pages 293-300 (July 1991).		

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Examiner Signature		Date Considered	
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